
Recommended: Read the Wall Street Journal every day, you will not be tested on it, but you will find it essential for job interviews

Also, students interested in more background on financial markets are encouraged to read The Wall Street Journal Guide to Money and Investing.

Class Times for Day Class: Tuesday and Thursday, 10-11:15

Professor Information
J.W. Verret, Room 411, jverret@gmu.edu
Office Hours, By Appointment

Course Requirements and Grading Policy
The course requirements consist of class participation and a final exam. Students will be able to obtain positive participation credits through volunteering uniquely insightful participation in class (merely relaying the facts of a case, summarizing the courts reasoning, or relaying a holding is insufficient for that purpose).

I will also cold call students frequently, all students must be prepared to discuss each day. In the event a student is called upon and not present or not prepared they will lose a participation point. Cold called students can only lose credit, additional participation points will only be given for volunteers.

YOU DO NOT NEED TO ADVISE ME ABOUT ABSENCES IN ADVANCE, BUT YOU ARE RESPONSIBLE FOR BUILDING A PARTICIPATION CREDIT BUFFER AHEAD OF TIME, THROUGH DILIGENT VOLUNTARY PARTICIPATION, TO COMPENSATE FOR ANY LOST PARTICIPATION POINTS ON DAYS YOU ARE ABSENT.

At the end of the semester, the top 3-4 students will receive a participation increase on their final grade. I will reduce the final grade of any student not providing quality participation a minimum of once per day on average.

FOR A CLASS OF THIS SIZE, YOU SHOULD EXPECT TO BE REQUIRED TO PARTICIPATE AT LEAST ONCE EVERY CLASS DAY ON AVERAGE IN ORDER TO AVOID A BUMP DOWN!!!!

Things I would recommend:
1) If you miss a class, ask someone else in the class what the reading is for next time. On any given class, I reserve the right to jump ahead or fall backward in the syllabus by a couple of cases.

2) Email me if you are confused about a topic, I respond to all student emails as quickly as possible. Do not wait, though, until the last three weeks in the semester.

The following table sets forth the topics and reading assignments for the course lectures. BE ADVISED THAT THE ENTIRE TEXT IS FAIR GAME FOR THE FINAL. If something is in those readings, but not covered in the lecture or assigned readings below, it still may appear on the Final!!! It is also important that students not only read the assigned pages in the text but also review the related statutes and rules cited in the text.

To facilitate class discussion, it is important that students complete the reading assignment in advance of class.

Internet policy:

Students may not access the internet or send emails or IM during class. Doing so, even once, WILL RESULT IN A DOWNWARD ADJUSTMENT IN YOUR FINAL GRADE.

FINAL EXAM---Will be modified open book. You may bring in your book, your statutory supplement, and any notes which you have prepared yourself.

Readings are listed below; the reading averages about 30-40 pages per class. You are responsible for all regulatory and statutory provisions included in the text. You should consult these provisions as background material to help your understanding of the text, and I will go over some important ones in class. Statutory and Regulatory material may be covered on the exam.

Each number below represents one assignment. However, we may go through the material a little more slowly or quickly than indicated below. I may add or omit material during the semester. I will also occasionally hand out supplemental materials. I will notify you of any changes via email.

If we do not finish the material for a particular day, we will start the next class with that material, but the reading for that class otherwise will remain the same unless otherwise announced.

Preliminary reading schedule (subject to change)

**Class Day 1---Pages 1-60**

**Class Day 2---Pages 60-100**

**Class Day 3---Pages 105-144**

**Class Day 4---Pages 144-175**